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The Crime of Aggression and the Participation Model  
of the Rome Statute of the International Criminal Court

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Hannah Lea Pfeiffer

# The Crime of Aggression and the Participation Model of the Rome Statute of the International Criminal Court



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*To my parents Martin and Andrea  
and to my sister Dinah Marie, to Malte, Anika  
and my godmother Susanne*



## Preface

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Cologne, March 2017

*Hannah Lea Pfeiffer*



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## List of abbreviations

|                             |   |
|-----------------------------|---|
| ACIL                        | Amsterdam Center for International Law                      |
| AJIL                        | American Journal of International Law                       |
| ANZSOC                      | Australian and New Zealand Society of Criminology           |
| ANZLH                       | Australia & New Zealand Law & History E-Journal             |
| ARSP                        | Archiv für Rechts- und Sozialphilosophie                    |
| ASP                         | Assembly of States Parties                                  |
| BGH                         | Bundesgerichtshof   |
| BGHSt                       | Entscheidungssammlung des Bundesgerichtshofs in Strafsachen |
| B.C. Int'l & Comp. L. Rev.  | Boston College International and Comparative Law Review     |
| Cardozo Law Review          | Cardozo L. Rev.   |
| Case W. Res. J. Int'l L.    | Case Western Reserve Journal of International Law           |
| CJICL                       | Cambridge Journal of International and Comparative Law      |
| CJIL                        | The Chicago Journal of International Law                    |
| Colum. J. of Transnat'l Law | Columbia Journal of Transnational Law                       |
| Crim. L. Forum              | Criminal Law Forum  |
| Duke J. Comp. & Int'l L     | Duke Journal of Comparative & International Law             |
| ECCC                        | Extraordinary Chambers in the Courts of Cambodia            |
| ed.                         | editor  |
| edn.                        | edition   |
| eds.                        | editors   |
| EJIL                        | European Journal of International Law                       |
| e.g.                        | for example   |
| et al.                      | et altera   |
| et seq.                     | et sequens  |
| EU                          | European Union  |

*List of abbreviations*

|                    |   |
|--------------------|---|
| FICHL              | Forum for International Criminal and Humanitarian Law                                       |
| Fla. J. Int'l L.   | Florida Journal of International Law  |
| Fordham Int'l L.J. | Fordham International Law Journal   |
| FS                 | Festschrift   |
| GA                 | Goltdammer's Archiv für Strafrecht  |
| GoJIL              | Goettingen Journal of International Law   |
| GYIL               | German Yearbook of International Law  |
| Harv. Int'l L.J.   | Harvard International Law Journal   |
| HICLR              | Hastings International and Comparative Law Review   |
| HRQ                | Human Rights Quarterly  |
| Ibid.              | ibidem  |
| ICC                | International Criminal Court  |
| ICC Statute        | Rome Statute of the International Criminal Court  |
| ICJ                | International Court of Justice  |
| ICLQ               | International and Comparative Law Quarterly   |
| ICTR               | International Criminal Tribunal for Rwanda  |
| ICTY               | International Criminal Tribunal for the Former Yugoslavia                                   |
| ILC                | International Law Commission  |
| IMT                | International Military Tribunal   |
| IMT Judgment       | Judgment of the International Military Tribunal for the Trial of German Major War Criminals |
| IMTFE              | International Military Tribunals for the Far East   |
| IMTFE Judgment     | Judgment of the International Military Tribunal for the Far East                            |
| Int. Crim. L. Rev. | International Criminal Law Review   |
| IRRC               | International Review of the Red Cross   |
| JICJ               | Journal of International Criminal Justice   |
| JZ                 | Juristen Zeitung  |

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| KJ                               | Kritische Justiz   |
| KritV                            | Kritische Vierteljahresschrift für Gesetzgebung und Rechtswissenschaft   |
| LJIL                             | Leiden Journal of International Law  |
| London Charter                   | Charter of the International Military Tribunal – Annex to the Agreement for the prosecution and punishment of the major war criminals of the European Axis |
| marginal no.                     | marginal number  |
| Melb. UL R.                      | Melbourne University Law Review  |
| MPEPIL                           | Max Planck Encyclopedia of Public International Law  |
| MüKo                             | Münchener Kommentar  |
| NCLR                             | New Criminal Law Review  |
| NILR                             | Netherlands International Law Review   |
| no.                              | number   |
| Northwestern JIHR                | Northwestern Journal of International Human Rights   |
| Nr.                              | Nummer   |
| NStZ                             | Neue Zeitschrift für Strafrecht  |
| Nuremberg Charter                | Charter of the International Military Tribunal – Annex to the Agreement for the prosecution and punishment of the major war criminals of the European Axis |
| N.Y. L. Sch. J. Int'l & Comp. L. | N.Y. Law Journal of International and Comparative Law  |
| NZYIL                            | New Zealand Yearbook of International Law  |
| p.                               | page   |
| Pace Int'l L. Rev.               | Pace International Law Review  |
| para.                            | paragraph  |
| Penn St. Internat'l L. Rev.      | Penn State International Law Review  |
| RGSt                             | Entscheidungen des Reichsgerichts in Strafsachen   |
| Rome Statute                     | Rome Statute of the International Criminal Court   |

*List of abbreviations*

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| Santa Clara J.Int'l L.              | Santa Clara Journal of International Law                       |
| SCSL                                | Special Court for Sierra Leone                                 |
| SCU Law Review                      | Southern Cross University Law Review                           |
| St. Louis-Warsaw Transatlantic L.J. | St. Louis-Warsaw Transatlantic Law Journal                     |
| StR                                 | Strafrecht   |
| Stan. L. Rev.                       | Stanford Law Review  |
| Suffolk Transnat'l L. Rev.          | Suffolk Transnational Law Review                               |
| SWGCA                               | Special Working Group on the Crime of Aggression               |
| TWC                                 | Trials of War Criminals before the Nürnberg Military Tribunals |
| U.N.                                | United Nations   |
| U.N. Doc.                           | United Nations Documents                                       |
| U. Queensland LJ                    | University Queensland Law Journal                              |
| UJIEL                               | Utrecht Journal of International and European Law              |
| U.S.                                | United States  |
| Vol.                                | Volume   |
| v.                                  | versus   |
| VStGB                               | Völkerstrafgesetzbuch  |
| Wash. U. Global Stud. L. Rev.       | Washington University Global Studies Law Review                |
| YJIL                                | Yale Journal of International Law                              |
| ZStW                                | Zeitschrift für die gesamte Strafrechtswissenschaft            |